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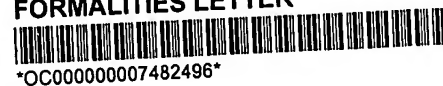
UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,313	01/18/2002	Alex Battaglia	ALBA.001.01US

CONFIRMATION NO. 3640

FORMALITIES LETTER



OC000000007482496

 Rae-Venter Law Group, P.C.
 P.O. Box 60039
 Palo Alto, CA 94306-0039

Date Mailed: 02/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$248.
 - \$108 for 12 total claims over 20.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 683.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

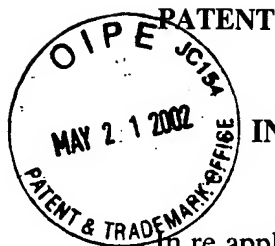
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/23/2002 MBERHE 00000013 10053313

 01 FC:201
 02 FC:205
 03 FC:203
 04 FC:204

 370.00 OP
 65.00 OP
 108.00 OP
 140.00 OP



ATTORNEY DOCKET NO. ALBA.001.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Alex Battaglia, M.D. *et al.*

Serial No.: 10/053,313

Filed: January 18, 2002

Title: **Gum Resin as a Carrier for Topical
Application of Pharmacologically Active
Agents**

Examiner: Not Yet Assigned

Art Unit: 1619

**RESPONSE TO NOTICE TO FILE
MISSING PARTS**

BOX MISSING PARTS

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

Transmitted herewith along with the appropriate fee(s) are:

☒ An executed Declaration and Power of Attorney along with:

☐ A copy of the above-identified application as originally filed.

☒ Surcharge

☐ For a large entity \$130.00

☒ For a small entity \$65.00

Total Surcharge \$65.00

☒ Additional claim fees: \$248.00

☒ Basic Filing Fee \$370.00

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
the United States Postal Service with sufficient postage as first
class mail in an envelope addressed to the Assistant
Commissioner for Patents, Washington, D.C. 20231 on

Date May 15, 2002

Signed L. Sarlat

Printed L. Sarlat

☐ Executed Assignments(s) for recordation and the recording fee of \$40.00 per assignment. \$

☐ Assignment Recordation Cover Sheet

☐ An executed Verified Statement Claiming Small Entity Status.

☐ Applicant requests that a refund of one-half of the filing fee be credited to Deposit Account No. 18-0020 as the enclosed Verified Statement Claiming Small Entity Status is being filed within two months of the payment of the filing fee.

☒ Copy of Notice to File Missing Parts of Nonprovisional Application

<u>Extension of Time Fee</u>	<u>Small Entity</u>	<u>Large Entity</u>
<input checked="" type="checkbox"/> One Month	\$ 55	\$ 110
<input type="checkbox"/> Two Months	\$200	\$ 400
<input type="checkbox"/> Three Months	\$460	\$ 920
<input type="checkbox"/> Four Months	\$720	\$1440
<input type="checkbox"/> Five Months	\$980	\$1960

Extension of Time Fee \$55.00

Total Fees: \$738.00

☒ A check including the amount of the above-indicated TOTAL FEES is attached.

☐ Please charge Deposit Account No. 18-0020 in the amount of \$.

☐ No fee is required.

☒ Conditional Petition for Extension of Time: An extension of time is requested in the present and/or the above-referenced parent application to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.

☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.

- ☒ Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.
- ☒ Any parent application processing fees under 37 CFR 1.17.
- ☒ A **duplicate** copy of this sheet is attached for accounting purposes.

Dated: August 15, 2002

Barbara Rae-Venter
Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

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BRV/jlm